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1	Stable isotopes reveal captive vs wild origin of illegally captured songbirds in France
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12	
13	Abstract
14	Naturally occurring stable isotopes have become important tracers in the study the wildlife ecology
15	including the identification of origins for migration research, investigations of trophic relationships,
16	and in the forensic determination of illegally harvested and captive fauna. Extensive illegal trapping for
17	use as decoys and in cuisine has contributed to drastic declines of Ortolan Bunting Emberiza hortulana
18	populations breeding and migrating through France. We contrasted feather hydrogen isotope ($\delta^2 H_f$)
19	values in illegally captured and subsequently confiscated Ortolan Buntings (n = 234), including feathers
20	known to have grown in captivity (n = 34) or of unknown growing environment (wild or cage), with
21	$\partial^2 H_f$ values in birds legally caught (ringed and released) in the wild (n = 40). We sought to determine if

23 considerably lower $\delta^2 H_f$ relative to feathers of wild birds, which is potentially indicative of tap water

these bird groups could be differentiated based on this single isotope. Feathers grown in captivity had

22

24 consumption and the use of different diets in captive birds. Further, applying mixing models to $\delta^2 H_f$

25	values revealed similar proportions of captive vs. wild origins for birds illegally captured in 2012, 2014						
26	and 2015, and a larger proportion of individuals with feathers grown in the wild in 2013. This						
27	potentially mirrors the confiscation of birds at poaching sites only in the former years, but also of						
28	recently caught wild buntings kept captive in 2013. Our results show that even a single stable isotope						
29	(δ^2 H) with good association with origins where feather keratins are produced may be useful in						
30	understanding origins of captive birds and may advance the monitoring of illegally captured birds.						
31							
32	Keywords						
33	Bird; Emberiza hortulana; Illegal hunting; Ortolan Bunting; Poaching; seized birds						
34							
35	Highlights						
36	- The ortolan bunting is a protected migratory passerine poached in south-west France for						
37	gastronomic purposes						
38	- Captive-grown feathers of ortolan buntings have very low deuterium concentrations						
39	compared to wild-grown feathers						
40	- This difference is used to estimate the relative proportion of recently captured vs. long caged						
41	ortolan buntings seized by the police from poachers						
42	- Stable isotopes can be useful tools to infer the wild vs. captive origin of seized birds and further						
43	adapt the care time before being released in the wild						
44							
45	1. Introduction						
46	Naturally occurring patterns of stable isotopes across large geographic areas enable the tracking of						
47	bird migration. This is based on the principle that stable isotope ratios in food and water consumed by						
48	birds can be correlated with those values in growing tissues (e.g. feathers, blood) following well-						
49	described processes. Protium (1 H) and its heavier isotope, deuterium (2 H), occur naturally in						
50	environmental waters, and the ratio of the heavier to lighter isotope (² H/ ¹ H measured as δ^2 H) in						

51 precipitation has been shown to change predictably at continental scales (i.e. "isoscapes") [1]. Indeed, 52 δ^2 H values in precipitation (δ^2 H_p) generally have a latitudinal structure across continents [2] and these 53 patterns form the basis of tracing animal movements because such patterns are transfered up 54 foodwebs [3]. Foodweb isotopic signatures are reflected in the tissues of organisms, and such 55 signatures can vary spatially based on a variety of biogeochemical processes. Organisms moving 56 between isotopically distinct foodwebs carry with them information on the location of previous 57 feeding (Hobson 1999). Migration connectivity can thus be studied by tracking chemicals contained in 58 tissue, notably stable isotopes in keratins (Hobson & Wassenar 2008). As feathers grow during a short 59 time period during a precise phase of the annual cycle, they are preferred to the continuously growing 60 claws for inferring geographical origins. Determination of migratory origins using isotopes does not require sacrificing individuals, just sampling a feather of known growth timing. Migrants wearing 61 62 feathers grown on breeding grounds can be attributed to probabilistic breeding areas by comparing 63 the concentrations of some stable isotopes in their feathers and the geographical variations of the 64 same isotopes in the natural environments where the feathers could have grown. The same holds true 65 if analyzing feathers of breeding individuals that have molted on their wintering grounds.

66 Inferring the origin of migratory animals in terrestrial systems can be based on various stable isotopes, especially nitrogen, hydrogen and carbon. Nitrogen (¹⁵N/¹⁴N) stable isotopes are mainly used 67 to determine the trophic level of a species. Hydrogen (¹H) and deuterium (²H) has revolutionized stable 68 69 isotope analysis in the study of animal migration. Deuterium ratios vary strongly with weather 70 conditions, resulting in highly predictable spatial variation across continents (Hobson & Wassenaar 71 2008). Constructing continental maps that predict deuterium levels is relatively straightforward, given 72 the large amount of existing data on continental weather patterns, and biologists further use such 73 maps to determine probabilistic migratory origins of species. Deuterium ratios in feathers are effective 74 indicators of breeding latitude in European birds (Hobson & Wassenaar 2008, Bearhop et al. 2003). Carbon isotopic signatures $({}^{13}C/{}^{12}C)$ are used to distinguish plants that use C3, C4 and CAM modes of 75 76 photosynthesis, as the C4 and CAM pathways lead to lower carbon fractionation than C3

77 photosynthesis (Karasov & Martínez del Rio 2007). Carbon isotopes can thus be used to reconstruct 78 migratory routes, if the geographical distribution of C3, C4 and CAM plants and the diet preferences 79 of the study species are known (Hobson 1999). As C3 and C4 plants have not the same occurrence rate 80 north and south of the Sahara, carbon isotopic signatures in winter-grown feathers can discriminate 81 individual birds that have overwintered south and north of the Sahara. Clearly, these isotopes provide 82 rougher estimates of origins than deuterium, while C and N should be avoided when studying farmland 83 species growing their feathers or hair in environments receiving agricultural fertilizers, disturbing 84 natural concentrations of their isotopes.

85 The Ortolan Bunting Emberiza hortulana is a long-distance migrant Palaearctic songbird, that 86 breeds across Eurasia and winters south of the Sahara. It breeds mainly in farmed habitats, while its 87 migratory strategy has been confirmed by isotopic investigations of deuterium concentrations in 88 winter-grown feathers [4]. The species is protected in the European Union and listed in Appendix I of 89 the Birds Directive (1979/409/EEC), and formally protected in France since 1999. However, this species 90 was illegally harvested in southwest France for culinary interest, as migrants have the outstanding 91 capacity to double their mass, primarily as fat, within a short period prior to their fall migration. While 92 bird conservation organizations have repeatedly denounced this practice, hunters, local politicians and 93 leading French Michelin-starred chefs [5] have defended the persistence of this hunting and 94 gastronomic practice as part of traditional cultural heritage. The European Commission referred France 95 to the Court of Justice of the European Union (EU) in December 2016 on the charge of illegal hunting 96 of Ortolan Buntings, as France could not prove it was satisfactorily taking action to stop the poaching 97 activities. In this context, the French nature police intervened in the field by interrogating some alleged 98 offenders and seizing captive Ortolan Buntings. These illegally captured birds were taken into care and 99 later released in the wild before the end of the migration season.

100 In all birds, the renewal of feathers through moult is necessary to maintain the functions of 101 these keratinous appendages. Songbirds typically renew flight feathers once each year and body 102 feathers twice each year. Adult Ortolan Buntings moult the body feathers twice each year [6], on the breeding grounds in June to August, before the autumn migration to Africa, and on the wintering grounds before the spring migration. Their flight feathers are moulted only once, during the postbreeding moult in Europe. First-year individuals have feathers grown in the nest on the breeding grounds. Hence, during the fall migration, all individuals have a complete set of feathers recently grown on their breeding grounds regardless of their age.

108 Bird feathers grown at a given location generally have $\delta^2 H$ values ($\delta^2 H_f$) proportional to local 109 amount-weighted mean $\delta^2 H_0$ [7]. However, this is not necessarily true for feathers grown in captivity. 110 Captive birds are assumed to be provided tap water and commercial food of unknown and varied 111 origins, which can have different $\delta^2 H$ values than local precipitation or wild grown seeds. This 112 discrepancy in δ^2 H is especially true if tap water does not correspond well to amount-weighted growing 113 season precipitation where feathers are grown and if food provided to the captive birds is not issued 114 from local production [2],[8]. Under a global research program dedicated to unravel the migration 115 strategy of the Ortolan Bunting [4], we had access to numerous individuals captured illegally during 116 four consecutive years. These birds included recently captured wild individuals and caged decoys 117 placed on hunting sites. Caged decoys could be either individuals kept year-long in captivity (i.e. with 118 captive-grown feathers), or recently captured wild individuals (i.e. wild-grown feathers) placed in cages 119 to complement/renew the stock of long-captive decoy birds. All seized first-calendar individuals (i.e. 120 hatch year) were of conclusively wild origin, thus having wild-grown feathers, since captive breeding 121 of the species is not possible. Most other individuals could have feathers of wild or captive origin, but 122 the plumage of a few adults displayed color aberration with either a lack of or an excess of dark 123 pigmentation (leucism or melanism), signaling captive-grown feathers [9].

124 Within the poached and seized birds, our main aim was to study $\delta^2 H_f$ values in order to 125 estimate the proportion of individuals that were recently captured compared to those individuals 126 captured in earlier years and further kept in captivity. All seized birds hatched in the wild, as there is 127 no captive breeding of the species, so our aim was to address the question of the temporality of their 128 capture, either recent or older. By first considering obvious caged buntings, we first assessed $\delta^2 H_f$ 129 values for cage-grown feathers to determine if $\delta^2 H_f$ could be used to separate recently captured and 130 caged birds. We expected birds with suspected cage-grown feathers to have potentially different $\delta^2 H_f$, 131 either lower or higher. As most Ortolan Buntings migrating by southwest France come from Poland 132 and Scandinavia [4] where deuterium concentrations in the environment are lower than in France, we 133 expected higher $\delta^2 H_f$ in caged birds. We then analyzed the distributions of $\delta^2 H_f$ in feathers collected 134 from Ortolan Buntings illegally captured during four consecutive hunting seasons to estimate the proportions of individuals of wild vs captive origins. We finally propose possible interpretations for the 135 136 observed inter-annual variations in these proportions, in terms of confiscation efforts by the police.

137

138 2. Materials and methods

139 2.1 Sample collection and hydrogen isotopic measurements

140 We collected feathers from Ortolan Buntings seized by the nature police in Landes department, 141 southwestern France, when interrogating owners of illegal trapping sites. Seized birds were placed in 142 wildlife care centers where licensed forensic ringers processed them before release. Seized birds could 143 include caged and recently trapped birds found at capture sites, and potentially birds kept in captivity 144 to be fattened for consumption. All surviving confiscated individuals were ringed and feather-sampled 145 prior to release in the wild before the end of the autumn migration season. We organized the collection 146 of one tail feather from each bird cared for in 2012 (n=50), 2013 (n=18), 2014 (n=53) and 2015 (n=113). 147 Individual birds were classified into three groups: 1) decoys (n=34) that were illegally captured birds 148 with plumage abnormalities or highly developed feet scabies, 2) illegally captured birds from each year 149 (2012, 2013, 2014 and 2015; these groups include the previously cited obvious decoys, but also illegally 150 captured first-calendar year individuals), and 3) wild birds legally captured and ringed in autumn 151 (n=40). For birds with tail feathers that were too heavily damaged from being in captivity, we instead 152 sampled one tertial feather. We stored feathers in individual envelopes at ambient temperature until 153 isotopic analysis.

154 Feathers were cleaned in 2:1 chloroform:methanol solvent rinse and prepared for δ^2 H analysis 155 at the Stable Isotope Laboratory of Environment Canada, Saskatoon, Canada. The $\delta^2 H$ of the non-156 exchangeable hydrogen of feathers was determined using the method described by Wassenaar & 157 Hobson [10] based on two calibrated keratin hydrogen-isotope reference materials (CBS: -197 ‰, KHS: 158 -54.1 ‰). We performed hydrogen isotopic measurements on H₂ gas derived from high-temperature 159 (1350 °C) flash pyrolysis (Eurovector 3000; Milan, Italy) of 350 ± 10 ug feather subsamples and keratin 160 standards loaded into silver capsules. Resultant separated H₂ was analysed on an interfaced Isoprime 161 (Crewe, UK) continuous-flow isotope-ratio mass spectrometer. Measurement of the two keratin 162 laboratory reference materials corrected for linear instrumental drift were both accurate and precise 163 with typical within-run measurement error < 2 ‰. All results are reported for non-exchangeable H expressed in the typical delta notation, in units of per mil (‰), and normalized on the Vienna Standard 164 165 Mean Ocean Water–Standard Light Antarctic Precipitation (VSMOW-SLAP) standard scale.

166

167 2.2 Statistical analyses

168 We first tested if $\delta^2 H_f$ values measured from each bird group followed normal distributions by 169 performing Shapiro-Wilk tests. We also performed a general linear model to compare $\delta^2 H_f$ values 170 among five groups (wild birds and the four annual datasets). We then applied the normalmixEM 171 function of the 'mixtools' package in R v3.5.2 [11] to the datasets for each year (2012, 2013, 2014 and 172 2015) to obtain the mixing proportions, means and standard deviations of both estimated mixed 173 normal distributions. We could have pre-defined the means and standard deviations of the two mixed 174 normal distributions using values estimated from the obvious wild and decoy birds. However, we did 175 not do so as we expected that inter-annual variations in meteorological conditions during migration 176 (e.g. predominance of eastern vs. western winds) and relative breeding productivity across the range 177 (i.e. origins) should create variation in the annual distribution of $\delta^2 H_f$ in wild birds migrating through 178 southwestern France. This expectation was supported by a year effect in an analysis of variance 179 predicting $\delta^2 H_f$ values by year of capture for birds captured and ringed in the wild by forensic ringers 180 (F_{2,37} = 28.3, P < 0.001).

181

182 **3. Results**

183 *3.1 Normality*

We report the mean \pm s.d. values of $\delta^2 H_f$ for the wild individuals and obvious decoys in Table 1, while 184 Figure 1 shows the boxplot of the different groups studied here (including obvious decoys). The boxplot 185 186 illustrates that illegally captured birds include individuals of wild and captive origins in unknown proportions. Shapiro-Wilk's tests confirmed the normal distribution of the deuterium values for the 187 188 following datasets: wild birds (W=0.967, P=0.051), obvious decoys (W=0.961, P=0.268), and 2013 birds 189 (W=0.925, P=0.161), but not for birds confiscated in 2012 (W=0.900, P<0.001), 2014 (W=0.878, P < 190 0.001) and 2015 (W=0.899, P < 0.001). A general linear model performed on data from wild birds and 191 all years revealed that all annual groups differed from the wild sample (year effects predicting $\delta^2 H_f$ 192 compared to the wild sample defined as the intercept; intercept estimate \pm s.d. = -88.18 \pm 2.69 ‰): 193 2012 (-11.18±3.46 ‰, t=-3.10, P=0.002); 2013 (-13.06±4.83 ‰, t=-2.71, P=0.007); 2014 (-11.30±3.41 ‰, t=-3.56, P=0.002), 2015 (-12.16±3.13 ‰, t=-3.89, P<0.001). Fig. 1 illustrates the differences 194 195 between these groups.

196

197 *3.2 Mixing proportions*

Fitting normal mixture densities to the annual datasets, we identified for each year two mixed normal distributions closely matching those of obvious decoys and of wild individuals (Table 1 and Fig. 2). The estimated proportion of birds with captive- vs. wild-grown feathers were relatively balanced in 2013 (45% vs 55%) but were skewed in 2012 (61% vs 39%), 2014 (75% vs 25%) and 2015 (72% vs 28%), with a larger proportion of caged birds. 204 4. Discussion

205 Ortolan Buntings illegally captured in France in 2012-2015 included some individuals that were obvious 206 decoys with plumage abnormalities, leucism or melanism, which are likely linked to nutrient 207 deficiencies [9], [12]. All of these individuals were captive for long periods prior to sampling and so they 208 grew their feathers in captivity. The feathers sampled on these birds provided a good opportunity to 209 obtain estimates of the $\delta^2 H_f$ concentrations in captive-grown feathers, and further compare them to 210 similar measures obtained from Ortolan feathers grown in the wild. The latter came from active 211 migrants captured in nature by licensed forensic ringers. The decoys had notably lower $\delta^2 H_f$ 212 concentrations than wild birds which is consistent with the expectation that captive birds, presumably 213 drinking tap water and eating commercial food, can have different $\delta^2 H_f$ than those of wild birds derived 214 from diets and drinking water driven by local precipitation at the site of feather development. Here, 215 by analyzing $\delta^2 H_f$ concentrations of seized Ortolans, we were able to predict their wild or captive origin, 216 as long as the captive birds consumed only minimal rainwater and wild-grown seeds while growing 217 their feathers. For birds that have not molted feathers while in captivity, analysis of $\delta^2 H$ in other 218 chemically active tissues (e.g. toenails, blood) with differing turnover periods may provide further 219 insights into their capture history and requires further study [14]. However, the expectation of a 220 difference of isotopic concentrations in feathers of wild vs. captive individuals will be entirely case 221 specific and depend very much on the molt origin of wild birds compared to captive birds and their 222 artificial diets [2], [8], [13].

223 Within the datasets obtained for the different years, 2013 appears distinct, with balanced 224 proportions of wild and captive birds, while the other three years included more captive than wild 225 birds. The difference could arise from variations in confiscation efforts and procedures, concerning 226 mainly long-lasting or recently captured decoys present on field poaching stations, possibly 227 complemented by additional wild and recently captured individuals moved indoors for fattening. If the

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police operated mainly at field capture sites, confiscated birds would concern only caged decoys, with a majority of long-caged individuals with feathers grown in captivity, and fewer recently captured individuals kept in the field to complement the available decoys surviving from the precedent year. If the police further confiscated the wild individuals captured recently but then moved indoor for fattening, we would expect a higher proportion of individuals wearing wild-grown feathers. The first scenario would produce a larger proportion of birds of captive origin (see 2012, 2014, 2015), while the second scenario would produce a comparatively larger proportion of wild individuals (as in 2013).

235 While isotope profiling or fingerprinting are often used in food quality controls or criminal 236 cases [15], there is a growing literature showing that stable isotope analyses are a powerful tool in 237 forensic tracing of the origins of legally or illegally traded animals and plants [16],[17]. Studying the 238 African Grey Parrot Psittacus erithacus, Alexander et al. [18] found a systematic difference in feather 239 carbon (δ^{13} C_f) and deuterium (δ^{2} H_f) values for known wild and captive birds, and a match between 240 isotopic ratios obtained for imported birds that deceased in captivity and for wild birds, attesting that 241 these imported birds had been captured illegally in the wild. Castelli and Reed [19] identified unique 242 patterns of three isotopic ratios (δ^{13} C, δ^{15} N and δ^{34} S) to distinguish wild and pen-raised Northern 243 Bobwhite *Colinus virginianus*. Dittrich et al. [20] investigated the isotopic composition (δ^{13} C, δ^{15} N and 244 δ^{18} O) of legally traded frog legs from suppliers in Vietnam and Indonesia, and segregated naturally 245 born from intensively farmed frogs. Indeed, the use of stable isotope analyses can definitely improve 246 the monitoring of legally and illegally traded wildlife species across the globe.

Analysis of stable isotopes in recently grown feathers of illegally captured birds in Europe is a promising forensic tool to help authorities identify wild poached individuals of protected species that are otherwise bred legally in captivity. This could be highly efficient to segregate legally from illegally traded Goldfinches *Carduelis carduelis*, which suffers from a long-term poaching pressure that has resulted in a drastic range retraction in North Africa [21]. International illegal trade of poached Goldfinches has also recently increased across southern Europe, following the decline in North African breeding numbers [21]. Indeed, French authorities have recurrently seized Goldfinches in recent years, and analyzing the stable isotope concentrations (notably δ^2 H) in their feathers could help to determine the captive vs wild origin of seized birds, and the geographic origin of wild seized individuals [22]. As the principal strategy used to deal with animals recovered from illegal traffic is to release them back into nature [23], this would allow rapid release of individuals with recently wild-grown feathers, and give longer recovery time to individuals having been captive for longer durations.

As a conclusion, we acknowledge that differences in isotopic concentrations in bird feathers grown in captivity and in the wild are generally case specific, though if attested as was the case for ortolan buntings in southwest France, they can provide a useful tool to determine the duration of previous captivity for seized birds, and therefore adapt their rehabilitation period before an optimal release back in the wild. The information on the relative proportion of wild and recently captured individuals, vs. individuals captured since at least one year, can further inform on the efficiency of the confiscation efforts to target wild birds whose captures imped directly the fate of wild populations [4].

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Table 1. Mixing proportions, mean (\bar{x}) and standard deviation (SD) of deuterium concentrations in Ortolan Bunting feathers ($\delta^2 H_f$) for each of the mixed normal distribution. The last line provides the mean and standard deviation for obvious dummies and wild birds also reported in Fig. 1.

Year	Proportion 1 (in %)	$\delta^2 H_f \bar{x}$ 1	SD 1	Proportion 2 (in %)	$\delta^2 H_f \bar{x} 2$	SD 2
2012	60.1	-109.01	5.87	39.9	-84.82	14.16
2013	44.6	-115.24	5.87	55.4	-89.98	4.67
2014	74.9	-106.42	6.63	25.1	-78.75	4.15
2015	72.2	-111.21	8.98	27.8	-72.14	7.14
References	Decoys (n=34)	-114.1	8.50	Wild (n=40)	-85.73	15.32

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Figure 1. Boxplot of deuterium concentration values in Ortolan Bunting feathers (δ^2 H_f, in ‰) for annual datasets of confiscated birds, for confiscated obvious decoys and for individuals captured legally captured in the wild by ringers. Each boxplot reports median, 1st and 3rd quartiles, min and max values.



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- Figure 2. Distributions of deuterium concentrations in Ortolan Bunting feathers ($\delta^2 H_f$, in ‰) for (A)
- 337 wild birds (upper left, n=40) and (B) obvious decoys (upper right, n=34) (in frequency), then (C-D-E-F)
- as obtained from fitting normal mixture densities to the annual datasets (with global and mixed
- density curves).











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